

**Australian Investment Exchange (AUSIEX) chooses Complii
as its risk and compliance management platform provider**

Complii FinTech Solutions Limited “Complii” (CF1.ASX) will be implementing a number of compliance modules for Australian Investment Exchange (“AUSIEX”) and have committed to provide the services for a minimum period of 3 years. The terms of which reflect circa \$400k in agreed implementation costs and circa \$300k pa in ongoing licence and hosting fees.

AUSIEX is one of Australia’s leading providers of trade execution, settlement and portfolio admin solutions.

On April 28, 2020 Nomura Research Institute, Ltd. (“NRI”) announced that its wholly owned Australian subsidiary Nomura Research Institute Australia, Pty Ltd had entered into an agreement to buy 100% of the shares of AUSIEX (Headquarters: Sydney, Australia), from AUSIEX’s parent company the Commonwealth Bank of Australia Limited (“CBA”, Headquarters: Sydney, Australia).

In late 2020, Complii commenced early development works around enhancing its core digital compliance capabilities to meet the requirements of AUSIEX from May 2021.

Complii technology successfully digitises compliance, capital raising and operational functions, assisting some 95 AFSL holders and organisations to meet their regulatory obligations and organisational efficiencies in real time.

The core platform and subsequent enhancements have been developed to allow Complii Licensees to have a fully-customised system based on their specific requirements as well as mainstream and unique business processes.

Complii’s Managing Director Alison Sarich, said “We are delighted that NRI and AUSIEX have chosen Complii”. We see this as validation of our technology and the capabilities that Complii has developed which has enabled it to attract such a high calibre, local and now global client. This further affirms our position in the market as a leading fintech compliance and operational efficiency provider in Australia”.

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Complii's Executive Chairman Craig Mason, said "I am pleased AUSIEX selected Complii. This is a major step forward for Complii and its growth strategy and we believe recognition of the breadth and depth of our strong Fintech solutions".

This announcement is authorised by the Board of Complii Fintech Solutions Limited.

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